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Continuation/Divisional Patent No.: 0941.64338  
 Parent Docket No.: 0941.63365

PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Abarra et al.**

Application No.: 09/425,788

Art Unit: 1775

Filed: October 22, 1999

Examiner:

For: **MAGNETIC RECORDING MEDIUM  
 AND MAGNETIC STORAGE APPARATUS**

ASSISTANT COMMISSIONER FOR PATENTS  
 WASHINGTON, D.C. 20231

## NOTIFICATION OF FILING OF CONTINUATION-IN-PART APPLICATION

Notification is hereby being made of the filing of a:

- ☐ continuation  
☒ continuation-in-part  
☐ divisional

application for this case

☒ concurrently herewith.

☐ on \_\_\_\_\_  
 Date

Reg No. 29,367

  
 SIGNATURE OF ATTORNEY

Patrick G. Burns  
 (type or print name of attorney)

Tel. No.: (312) 993-0080

233 S. Wacker Dr. Suite 8660  
 (address)

Chicago, IL 60606

## CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231

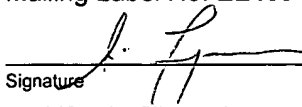
## 37 C.F.R. 1.8a

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 Date

## 37 C.F.R. 1.10

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 Signature  
Alfredo Zhagui

(type or print name of person certifying)

JCE32 U.S. PTO  
 09/588923  
 06/06/00

## PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**3. Anticipated Classification of this application:**

**Class:**

Subclass:

Prior application: 09/425,788

Examiner:

Art Unit: 1775

# TRANSMITTAL LETTER FOR FILING CONTINUATION-IN-PART APPLICATION

**BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

Sir:

This is a

- (X) Continuation-in-part application,  
( ) Divisional application,

under 37 CFR 1.53(b), of pending prior application Serial No. **09/425,788** filed on **October 22, 1999** for **MAGNETIC RECORDING MEDIUM AND MAGNETIC STORAGE APPARATUS**.

**CERTIFICATION UNDER 37 CFR 1.10**

I certify that, on the date shown below, these documents are being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231, as "Express Mail Post Office to Addressee," Mailing Label No. **EL409492351US**.

Date June 6, 2000

**Signature**

1. ( ) Enclosed is a copy of the prior application, including the oath or declaration as originally filed. The attached application papers are a true copy of prior application Serial No.: ^^^^ as originally filed on ^^^^, and no amendments referred to in the oath or declaration as originally filed introduced new matter. This statement is made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

The copy of the papers of the prior application as filed are as follows:

- ( ) \_\_\_ pages of specification including claims and abstract
  - ( ) \_\_\_ sheets(s) of drawing
  - ( ) \_\_\_ pages of declaration and power of attorney
2. ( ) Enclosed is a replacement specification reflecting previously submitted amendments and/or additional formal amendments. No new matter is added by the changes. A copy of the oath or declaration as originally filed is also included.

The new application includes:

- (X) 27 pages of specification including claims and abstract
  - (X) 10 sheets(s) of drawing
  - (X) 4 pages of declaration and power of attorney
3. ( ) A statement (Declaration) claiming small entity status was filed in the prior application and small entity status for this application is proper and desired.
4. ( ) Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes).

5. (X) The filing fee is calculated below:

CLAIMS AS FILED IN THE PRIOR APPLICATION, LESS ANY CLAIMS CANCELED BY AMENDMENT BELOW

For	No. Filed	No. Extra	Rate	Fee
Basic Fee...				\$690.00
Total Claims...	11 - 20 =	0	x \$18.00 =	\$ 0
Independent Claims...	4 - 3 =	1	x \$78.00 =	\$78.00
		Total Filing Fee		\$768.00
Statement of Status as Small Entity Reducing Filing Fee By Half To				\$ -0-

6. ( ) A check in the amount \$\_\_\_\_\_ is enclosed.
7. ( ) The Commissioner is hereby authorized to charge any additional fees which may be required for this application under 37 C.F.R. 1.16-1.17, or credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.
8. ( ) A petition and fee for an extension of time has been filed in the pending prior application. A copy of the petition is attached.
9. ( ) Amend the specification by inserting before the first line the sentence: -- This is a ( ) continuation, ( ) divisional, ( ) continuation-in-part, of application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.--
10. (X) Priority of application Serial No. **11-161329** filed on **June 8, 1999** in **Japan**, and Serial No. **2000-107075**, filed on **April 7, 2000**, in **Japan**, is claimed under 35 U.S.C. 119.
- (X) The certified copy of application Serial No. **11-161329** was filed in prior application Serial No. **09/425,788**, filed **October 22, 1999**. The certified copy of Application Serial No. **2000-107075** will be filed at a later date.

11. (X) The prior application is assigned of record to: **Mitsubishi Limited, Kawasaki-shi, Japan**. The assignment was recorded on **October 22, 1999** on Reel **10338**, Frame **0169**.
12. (X) The inventors of the invention of the parent application, serial number **09/425,788** are **E. Noel Abarra, Iwao Okamoto** and **Yoshifumi Mizoshita**.
13. (X) An information disclosure statement is submitted herewith.
14. ( ) A preliminary amendment is enclosed herewith.
15. ( ) Please delete the name(s) of \_\_\_\_\_ who are (is) not a (an) inventor(s) of the subject matter of the ( ) continuing ( ) divisional application.
16. (X) The power of attorney in the prior application is to:


<u>Attorney</u>	<u>Reg. No.</u>	<u>Attorney</u>	<u>Reg. No.</u>
Patrick G. Burns	29,367	Thomas R. Juettner	16,158
Roger D. Greer	26,174	James K. Folker	37,538
Lawrence J. Crain	31,497	Jonathan D. Feuchtwang	41,017
Steven P. Fallon	35,132	B. Joe Kim	41,895
Paul G. Juettner	30,270	Carole A. Mickelson (Agent)	30,778

**Greer, Burns & Crain, Ltd., Sears Tower - Suite 8660, 233 South Wacker Drive  
Chicago, Illinois 60606.**

- (X) The power appears in the original papers in the prior application.
- ( ) Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- ( ) Associate Power of Attorney is enclosed.
- ( ) A copy of the Associate Power to \_\_\_\_\_ is enclosed. The original Associate Power was filed in the parent application.
- (X) Address all future communications to:  
**Patrick G. Burns  
Greer, Burns & Crain, Ltd.  
Sears Tower - Suite 8660  
233 South Wacker Drive  
Chicago, Illinois 60606**

June 6, 2000

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**Patrick G. Burns**  
Registration No. **29,367**  
Attorney of record